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       DEFENSE ACQUISITION PERFORMANCE ASSESSMENT PROJECT
                   1560 Wilson Blvd, Suite 400,
                          Arlington, VA
                          15 July, 2005
                         Morning Session
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     ATTENDANCE:
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     Mr. Jerry Abbott
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     Mr. Frank Cappuccio
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     Ms. Eileen Giglio
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     Mr. Dick Hawley
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     Mr. Ron Kadish
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     Mr. Paul Kern
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     Mr. Don Kozlowski
24
     Mr. John Lesko
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     Mr. Dave Patterson
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PROCEEDINGS

Mr. Lesko: Welcome ladies and gentleman. You've found the public meeting. My name is John Lesko, I'm here to give a

- 4 few administrative announcements. I will be brief and explain a
- couple of the procedures that we're going to use to collect 6
- questions. First restrooms, if you need them there will be
- hopefully a short break roughly, but not for certain at about
- 8 the 10:40 mark. Those restrooms can be found behind the
- elevators, so you have to go through at least one glass door to
- 10 get there depending upon the route you take. Behind the
- 11 elevators restrooms. Telephones in the common areas. There's a
- 12 bunch of soft seats and mushroom shaped tables over there in the
- 13 common areas. There's some phones that are available for use.
- 14 There's some phones that are available for use behind the
- reception area, dial nine to get out. It would be appreciated 15
- 16 if you would set your cell phones on vibrate while you're in the
- 17 session here. Badges, if you have a badge that you were issued
- 18 we just ask you return them at the end of the briefing with the
- 19 same staff that issued them out to you. And last but most
- 20
- important, when you signed in you were given not only an agenda,20
- 21 but comment cards. Now it is the intention to gather comments
- 22 from the public on these cards. And it is the intention to get
- 23 back to you with an answer of some sort. I understand that many
- 24 of a common nature may be answered in the session later this
- 25 morning. However, the promise has been made and the intention

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- better know as the Skunk Works. This is a union outfit, I can
- tell. Cappuccio has 30 years of comprehensive and diverse
- management in engineering experience, and he is familiar with
- the cradle to grave acquisition as the Program Manager and the
- Director of Proposal Team for the joint strike fighter. Richard
- Hawley, General Richard Hawley independent defense industry
- 7 consultant. He is retired Air Force. General and former
- commander of U.S. Air Force's Europe, and Allied Air Force's
- central Europe. General Paul Kern, Executive Advisor, Engineer
- 10 Counsel with the Towen Group now. General Kern is retired U.S.
- 11 Army general and former Commanding General of the Army Materia
- Command. Mr. Don Kozlowski, aerospace consultant. Don was
- former President of the Jennair Corporation and Program Manager
- of Managed Business Jet. He was a Senior Vice President for
- 15 military transport aircraft, the Boeing Company. And C-17
- aircraft Program Manager. Gerald Abbott is Professor and
- Director of industry studies at the Industrial College of the
- Armed Forces. And in joined the faculty in June of 1987. And
- 19 did we get everybody?
 - Well at this time what I would like to do is to turn the
- 21 session over to the Chairman, Ron Kadish for a few remarks.
- 22 Then I'll join you after his remarks to give you an overview of
- 23 what we're about here this morning.
 - Mr. Kadish: Thanks Dave. Good morning everyone. Thank
- 25 you for coming. I'm honored to be a part of this effort and

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- 1 is there to get back to the public with comments. And there
- 2 will be other people talking about web site access and that sort
- of thing. As far as getting comments and responses back to you,
- I ask that you place those comments cards in a basket which is
- located behind this pillar. This large pillar there's a blue
- basket there, and we actually have extra cards if you have
- additional comments or additional question. I've covered all
- 8 the items on my checklist, Mr. Patterson?
- 9 Mr. Patterson: Thank you very much. Good morning, and
- 10 thank you all for being here. We're really gratified by the
- 11 fact that you took time to come and join us this morning. My
- 12 name is David Patterson. I'm the Project Director for the
- 13 Defense Acquisition Performance Assessment, DAPA as it's called
- We need to make it an acronym. We couldn't have done it any
- 15 other way. But we've got a lot to do this morning. And so this
- 16 is going to be a pretty fast paced session. And to get right on
- 17 to that I would take this opportunity to introduce the panel
- 18 members. To you -- they are to my left Ron Kadish, who's a
- 19 partner and Vice President Aerospace market, Booz Allen 20 Hamilton. And he is the former Director of Missile Defense
- 21 Agency. To his left Frank Cappuccio. I'm sorry we did not line
- 22 this up alphabetically. But, since you're not the immediate
- 23 left when you hear you name would you just raise your hand. And
- 24 that will help people. Frank is Vice President, General Manager

- Page 5 1 somewhat anxious about it as well. As you might imagine the
- acquisition process has been in existence in the United States
- 3 since the Revolutionary War. And I think you'll find out today
- 4 that we've improved it a lot over the last 100 years and we're
- 5 try to do it again.
- 6 I would like to thank particularly my fellow panel
- members. I can tell you from the deliberations we've had this
- morning and previous interactions, these are folks who are very
- dedicated and very talented and very much up to the task at
- 10 hand. And I look forward to working with each and everyone of
- them. Our intention in this process, and I want to make this
- 12 very clear is to be as open as possible in our approach to this
- problem. And we are soliciting not only industrial input,
- Input from around the people who have been a part of this
- process, but the public at large. People who have been part of
- this process in the past and who may have not had an opportunity
- to participate in previous efforts at all levels. And that all
- 18 attitudes, and would encourage as we go through this people to
- come forward with any comments, suggestions, especially
- 20 suggestions. We have a lot of questions in front of us. And
- probably don't need more, but we would certainly entertain
- 22 questions to clarify the issues. But what we're really after is 23
- some significant and important input to our deliberations. 24 And so you can expect us to be dialoging in this type of a
- 25 of Advanced Development Programs, Lockheed Martin Aeronautics, 25 forum as we go through this process much more than you would

- 1 expect. And to every extent practical we will do that. So,
- we've got a difficult task ahead today. You'll learn about the
- acquisition process as we know it today. As we will review it
- we will also look at the history of some of the things that have
- been done, and more importantly probably for some of the people
- who have come today will be looking at the process we're going
- 7 to use, and why we think it's a little bit different and
- effective in the approach we're taking today. Thank you very
- 9 much.
- 10 Mr. Patterson: I have one slight variation. On the
- 11 comment cards, we would like to get to your comments as quickly
- 12 as possible. As opposed to accumulating them and answering them
- 13 at sometime later. So if you like us to talk about your
- 14 comments or your questions, please as you write them hold them
- 15 up and during the process where we are deliberating on the
- 16 issues of the study we will make every attempt to answer those
- 17 questions today. So that you don't have to wait for an e-mail.
- 18 Well with that I would like to go to the overview briefing. It
- 19 is the Defense Acquisition Performance Assessment Project. It's
- 20 a public session, and I would like to do is just give you a
- 21 brief look at the discussion topics. We're going to look at --
- 22 you will recall that Secretary England said we need a roadmap.
- 23 We've had a number of questions that, that said you've had 30
- 24 days so what are your answers. We're going to talk about that a
- 25 little bit. We also want to talk about mandate principles and

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- responsibility authority and accountability. Despite the fact
- that I have this chart behind me, he wanted to emphasize the
- essential simplicity with which he expects us to come up with an
- 4 answer. It is important to emphasize that I am authorizing an
- 5 integrated acquisition assessment to consider every aspect of
- 6 acquisition including requirements, organization, legal
- 7 foundations, decisions methodology, oversight checks and
- 8 balances, every aspect. And during our discussions with
- 9 Secretary England he reiterated that expectation.
- 10 Well every study really needs to have some guiding
- 11 principles. And we established some guiding principles for this
- 12 effort. And to just go briefly through them, but first and
- 13 foremost we are a nation at war. With the global war on terror,
- 14 And we need to fight that war by acquiring equipment systems
- and services in a timely manner to win. We need to understand
- 16 and define the success in terms of outcomes and in the context
- 17 of the process that produces successful results in the customers
- 18 eyes. Build on strategic human capital. That's people, you
- 19 don't anything without people. And those people who are
- 20 currently working in the defense acquisition workforce. Work to
- establish the joint requirements that balance among performance.
- 22 scheduling costs, work through the industrial based challenges
- that we face today. Insure that the acquisition process is as
- 24 transparent and objective, and timely and accountable. Build an
- authoritative data/information. Those are the facts. Linked

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- 1 the problem, as the panel has seen it. And that is the what
- part of this effort. The how, we're going to look at our
- 3 organizational relationships, the division of labor within the
- 4 panel, and what's different about this study. And then go into
- 5 the methodology that we're using. The outcome of your study is
- 6 always dependent on the methodology and the credibility of your
- study on how you do things. And then I want to give you a look at the time line we have set for ourselves and what will happen
- during the course of that time line. The roadmap defined --
- 10 well to allay any fears that we have any answers today I want
- 11 you to be clear on the point that the road map as we defined it
- 12 to Secretary England is yoy take a look at where you are, at
- 13 point A. And you look at what you have to do to get to point B
- 14 to make the process better. We went through that process with
- 15 him and he was satisfied that we had met the spirit of what he
- 16 had in mind. Next slide please.
 - [Next slide]

17

- 18 Mandate for success. Well the mandate for success is the fact
- 19 that Secretary England has given us this charge. And to kind of
- 20 review with you the kernel or nuggets of what he was talking
- 21 about. He say I'm authorizing an integrated acquisition
- 22 assessment to consider every aspect. He emphasizes every
- 23 aspect. He expects -- this does not move my charts, I'm sorry. 24 There we go. The output will be the recommendation of an
- acquisition structure of processes with a clear alignment of

- Page 9
- across functions to drive decisions, not speculation but facts to drive decisions at the right level. We want to increase the
- 3 agility to keep pace with the changing and uncertain world.
- Shorten the cycle times. Hedge against surprise. Explicitly
- 5 and honestly balance among risks and priorities to get the best
- value for the taxpayer. And lastly integrity, it must operate
- 7
- in an environment of metrics and maintain trust and confidence
- 8 in the people that operate that system. Well now we're going to 9 turn to a statement of the problem. And to understand the
- 10 problem, look at the time stake. It is kind of a worried chart,
- 11 but first and foremost what has driven the discussion has been
- 12 what has been perceived as a massively accelerating cost growth.
- 13 As we go through that you will see that cost is simply one
- 14 element in the acquisition and not always the most important.
- 15 But currently we have over 80 new major weapons systems. 16 And essentially enough money to afford 50. GAO has commented
- 17 extensively on system. And in one case has pointed out that
- 18 over the last four years the five major acquisition have grown
- 19 in cost on 281 billion to 521 billion. But there's a systemwide
- 20 improvement that seems to be illusive despite the numerous
- 21 attempts to correct the problems. The trend is not abating in
- 22 the eyes of many. And after more than 20 years, numerous
- 23 attempts it doesn't seem to have experienced much improvement
- 24 As it is perceived outside the pentagon. Well as a consequence 25 of that confidence that's been seriously eroded and the

3 (Pages 6 to 9)

- 1 characterizations of the system are wide and varied. But
- essentially there is a perception that we are buying and not
- keeping up with a changing world. Buying today the next
- generation of what we have today. There is a conspiracy of hope 4
- in many peoples eyes. On both the part of the government and
- industry. And it creates an industry must win mentality, and
- 7 that must win at all cost. Program demagogy resulting from the
- 8
- valuing of sunk costs rather than future processes. The way
- which I tend to characterize how we have begun to think about
- 10 this is you have a government that looks out there and says we
- 11 absolutely need it faster, better, cheaper. And you have an
- 12 industry that says, oh boy we can make it faster, better,
- 13 cheaper no matter what the cost or how long it takes. The
- 14 government on the other hand says sounds good to us.
- 15 We have an ever escalating set of requirements resulting
- 16 from the absence of balanced advocacies and adequate constraints. And what that boils down to is a problem that is
- 18 best characterized as we need to improve the DOD acquisition
- 19 system to provide capabilities to win the global war on terror.
- 20 Meet the challenges to national security and regain senior
- 21 leadership confidence in the system and the people. While I
- 22 want to also take a quick look at how relationships within this
- 23 organization [inaudible] and how we're knitted together. And
- 24 essentially what happened was the Acting Deputy Secretary
- 25 charged us to go out. He authorized the headquarters there for

- Page 12
- 1 in to talk about their thoughts on each particular issue. And
- then conclusions are drawn on what they had said. Or there is a
- comparative approach. In those kind of approaches you will
- generally find in the GAO/CBO Congressional Research Service 4
- We've decided to take a little bit different point of view on
- this. We will have a study approach that emphasizes and
- 7 analytical system with analytical framework that maps underlyin
- 8 structures. That allows us to test our hypotheses, predict
- outcomes, and is based fundamentally on looking at various
- 10 behaviors that have given you what you have today. And then
- 11 with an analysis of those behaviors come up with some
- 12 conclusions and recommendations.
- 13 A quick look at our methodology. The qualitative
- 14 assessment and analysis and review with an action plan will have
- a literature search. That literature search will be contracted
- to The Monitor Company. Affiliated with the Harvard Business
- School we wanted an independent un-bias perspective one that is
- not been steeped in the traditions of acquisition, but yet 18
- 19 extremely competent and competent in the business practices.
- 20 Goldwater-Nichols is not a baseline but we wanted to look at
- 21 everything from 1985 forward and provide a summary of past
- 22 acquisition reform as a factual foundation of what we're going
- 23 to do in the future.
- 24 A one on one oral interviews and analysis of those 25 interviews will be a fundamental part of the process as well as

- 1 us to be the sponsor of this effort and you'll see the
- University of Tennessee up there. University of Tennessee
- supports this effort through a contact and all of this comes 3
- through me as the Project Director. But it all supports a
- 5 Federal Advisory Commission at ACT panel, which you see to my
- left. And with a Chairman and panel of principals, you will
- 7 also see there that everything surrounds it to support what they
- come up with and what they do. The output of what we do will be 8 8
- 9 a report and implementation plan. And that report and
- 10 implementation plan will flow up through the Undersecretary for
- 11 Acquisition Technology and Logistics, Ken Krieg. And on the
- 12 chart, I can't emphasize the importance enough that particular
- 13 node as it goes through Ken and back to the Acting Deputy
- 14 Secretary of Defense, Secretary England.
- 15 I just want to give you a quick look at how our study
- 16 areas are broken down. We've kind of broken them down in
- 17 subpanels. But I want you to know right up front this is a
- 18 dynamic process. It is a work in progress. And as we
- 19 deliberate over the various issues that arise the studied areas
- 20 may in fact change. I had said that we're doing things somewhat
- 21 differently. And I want to point out those differences. Prior
- 22 approaches have taken as you see up there a somewhat --
- 23 basically it can be categorized in two general areas. The
- 24 Delphic approach in which you have intuitive and inductive
- 25 reasoning that comes from a variety of experts that are brought

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- 1 the views of the knowledgeable experts in the field. Culminating in an implementation plan which we think separates
- this effort from others. When we're done we will have a plan
- that you can execute to achieve the recommendations that the
- panel arrives at. Quickly the one on one oral interviews and
- analysis selected industries, labor unions. We will look to the
- opinions of the workforce, the people who actually have to do
- the work on the systems that we have paid for. Trade
- associations and government acquisition representatives to round
- out the one on one oral interviews. The views on knowledgeable
- experts. As I've pointed out as well as implementation plan
- with and assessment and betting of recommendations again. 12
- 13 Identifying practical solutions and describing how the
- implementation can be accomplished. And by whom and will
- establish what was referred to as an integrated management plan 15 and schedule with work break down structures. So that what we
- 17 come up with in this panel you can actually take home and put
- 18 into action.
- 19 The review process. It's an extremely important part of
- 20 this entire process and we will have a series of gold teams, red
- 21 teams, and black hat teams to come in and look at what we're
- doing in order to make sure that we haven't done anything that
- 23 causes us harm in the future. So an extremely important aspect
- 24 of what is done here is peer reviews. And red and gold teams, 25 teams of people who are experts in the field to look at this

- 1 work. And lastly I promised you a time line. It is an
- aggressive admittedly. It has a variety of activities. But
- 3 essentially what you see here are the times at which the panels
- will meet. And the events that go up to make up the conclusions
- and recommendation and how it fits over time. We have a
- requirement in sometime in November to have a report and an
- Action Plan to the Deputy Sccretary of Defense. And in late
- November as is the requirement of FACA Commission we will
- provide that report to congress. While lastly we have a
- 10 structured and analytical approach. We believe it is the right
- 11 methodology. It's different than what's happened before. It
- 12 addresses all areas of acquisition. And the study results will
- 13 inform the QDR process, which as you know is happening in
- 14 parallel. We will provide our drafts to the QDR for their use.
- 15 I think we are on track with an aggressive schedule. We're
- 16 meeting that schedule in a reasonably good manner. And we hope 16
- 17 that in the end these public panel meetings will be a key
- 18 element of effort in establishing a new way of looking at
- 19 acquisition new processes or adjusted processes. And the way
- 20 that acquisition system is operated and that the overall result
- 21 will be significant improvement.
- So with that let me ask Mr. Cochran to jump up here. And 22
- 23 we will have a review of the current acquisition process as a
- foundation to where we are going.

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Mr. Cochran: Good morning. Chuck Cochran, I'm from the

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- programs don't do everything on this chart. It's hard to show a
- three dimensional process in a two dimensional schematic. It's
- hard to show that you have to have the money first. The EP 3
- 4 process is down at the bottom, but actually you have to have the
- money in place before you go to a mile stone review. So keep
- 6 that in mind. If you look at this chart and judge the process.
- 7 The other thing the chart shows is some of the newer policies in
- 8 the Department, like the evolutionary acquisition strategy. A
- lot of you have heard of that, I know. But the bottom line on
- 10 evolutionary acquisition is we try to get an additional
- 11 capability out to the war fighter as rapidly as possible. Once
- 12 it's in the field take action to improve it. Gain up to full
- 13 capability. The chart shows the three decision support systems.
- 14 The Government Program Manager thinks in these terms. What'
- 15 the requirement of satisfying the requirement? What technical
- activities do I need to use to satisfy the requirement? Is the
- 17 contract satisfactory and do I have the money? That's maybe an
- 18 over simplification. But that's basically how it goes. So
- 19 let's focus a little bit on the simplified version of the chart.
- 20 All this really is folks is a department wide policy to insure
- 21 the war fighters needs are met through a series of efforts and
- 22 activities divided by a number of control points, mile stones
- 23 and other decision reviews. These reviews are to insure that
- 24 the milestone decision authority, the acquisition executives,
- 25 the program executive officers have enough information to make

- 1 Defense Acquisition University. I've been around for awhile,
- been involved in a lot of these studies. I think I understand
- the acquisition process. Sometimes I'm not too sure. By the
- way I really appreciate this time in Northern Virginia. Walking
- up from the metro station this morning I thought to myself, you
- know this reminds me a lot of Texas. I'm going back to Texas
- very soon and I really appreciate the opportunity to become
- acclimated to Texas climate here in Northern Virginia. Okay.
- Defense acquisition process, what you see on the screen is the
- 10 center version of this rather complex chart. I saw a lot of you
- 11 wandering around this morning before the presentation started
- 12 looking at what we call the Integrated Defense Acquisition
- 13 Technology and Logics Life Cycle Management Framework.
- 14 And very basically the top part of the chart shows where
- 15 the war fighters requirements come from. Joint capabilities
- 16 integration system, the bottom part of the chart shows where the
- money comes from. Planning, programming, budgeting, and
- 18 execution process. The middle part of the chart shows what is
- the really important things that go on in the acquisition
- 20 process. Which to me is an engineering process. Under contract
- 21 and in industry with oversight review process, overlaid on that
- 22 systems engineering process. And the technical part of the
- chart is probably what we really depend on and end up down here
- at the other end. To produce a system that meets the war
- 25 fighters requirement. I've also go to tell you that all weapons

- 1 an informed decision. The Government Program Manager can tailed
- this process to the unique needs of each acquisition program.
- 3 Come to an agreement with the war fighter, the acquisition
- executive as to what activities need to take place. How many
- 5 milestones do you really need, and when should we enter the
- 6 process?
- 7 Based on technical maturity, good business practices, risk
- 8 management, and so forth. It would take a long time to teach
- all of this at the Defense Acquisition University. A lot of you
- 10 know what I'm talking about. If you don't we have some programs
- 11 at the university that can fill you in. But it will take some
- 12 of your time. It will take a lot more than 15 minutes I have
- 13 this morning. Trust me. Okay. Let's see if I can get the next
- 14 chart. Yes, this is the policy structure. DOD 5000.1 is only
- 15 eight pages. Even I can read it. 5000.2, the instruction that
- 16 sort of expands on the directive, lays out the procedures -- the
- 17 procedural process to comply with the top level policy is 38 18 pages. Then we go into the Defense Acquisition Guidebook, as
- 19 shown at the bottom. That is an online guide book. It is not a
- 20 paper document. So I'm not sure how many pages it is. But it's
- 21 a lot of screens. The regulation was cancelled. The regulation
- 22 was a couple hundred pages, and it's not going to be reissued.
- 23 We did away with a very large regulation. Move on the, what I 24 will call the discussionary best practices into a guide book.
- 25 The guide book is online at the university right now. And we're

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- 1 doing a process to web enable the guidebook. So you can go into
- the Defense Acquisition Life Cycle Management Framework and you
- can click CPD capability production document. You get all the 3
- information you need to know out there in the program office
- about that document. Where it came from, what's in it, what do
- I do with it. That will be online probably in a month or so.
- It's all free, all you have to do is click on it. 7
- Mr. Anderson: Chuck we should make a point that goes back
- 9 to a point you make earlier. This is being organized in a way
- that we have acknowledged that there is no individual who will
- remember everything. So instead of asking people to remember, 11
- we are connecting learning assets to all of these major nodes. 12
- 13 So that when an individual is in an area working he can go to
- 14 that area, click on his computer and pull up the procedures and
- 15 perform and support documents relevant to that specific arena
- 16 that they're working in. This construct of learning at the
- 17 point of need instead of asking people to memorize everything.
- 18 Mr. Cochran: I'm going to wrap up with this chart. I
- 19 think this is a pretty good summary chart and it sort of leads
- into the next presentation. We revised the acquisition policy 20
- 21 and the requirements generation system a couple of years ago.
- 22 We didn't have the joint staff sitting in there part of the
- 23 pentagon and the acquisition sitting in a different part of the
- pentagon and not talking to each other. It's an integrated
- 25 effort to make sure the joint capabilities -- capability based
 - Page 19

- assessment process. The defense acquisition process is tightly 1
- integrated. And up at the top you see the strategic guidance. 2
- 3 The President, Chairman, Secretary of Defense. All that flows
- down through some further documentation published by the joint 4
- 5 staff in a series of documents that make up the family of joint
- 6 future concepts to look out in the far term. And we have the
- 7 concepts of operations, which basically come from the commands, 7
- the joint tasks on that same process to look at things in closer 8
- 9 terms, say about seven years into the future. Down through the
- integrated architectures some further analysis to feed into 10
- 11 recommendations for both nonmaterial, non-weapons systems type 11
- 12 solutions to capability gaps and material solutions. Those are
- 13 the weapons systems. That is the weapons system I'm talking
- about, those things that go out to the war fighter. I'm talking 14
- 15 about radios, I'm talking about trucks, trailers, and tactical
- missile systems, launchers, fighter aircraft and tanks. And 16 17 since I'm field artillery we use to be talking about howitzers.
- 18 I'm not so sure about that now. These capabilities documents
- 19 help drive the acquisition process. The bottom line is meet the
- 20 war fighters requirement as rapidly as possible. The next
- 21 presentation will be Dr. Glen Martin.
- 22 Mr. Patterson: While Glen is going up there, Frank would
- you stand up and introduce yourself? Director of Defense
- 24 Acquisition University.
 - Mr. Anderson: I'm Frank Anderson.

Page 21 know there are lots of things we need to do better. When I say

Dr. Martin: Well I am Glen Martin, Director of Defense

Systems. I work on the staff of the Secretary for Defense for

Acquisition and Technology and Logistics, the Honorable Ken

Krieg. Chuck Cochran did a real good job of over viewing wha

is a complicated system. But the system that is put in place

after lessons years of lessons learned. To provide a

disciplined and orderly way to translate the war fighters

the war fighters for their use in whatever ways they're

requirements into designs systems that include hardware,

software, people that are ultimately verified and provided to

intended. And ultimately there efficient life cycle support.

It's a view of acquisition that is evolving. I tell people I

I'm going to offer you a slightly different view of acquisition.

have a puppy at home that is older than some these things that

we are embarked on. She brings a lot of joy into my life. But

every now and then she gets in a little mischief. She doesn't

always get it right. So I ask for patience and understanding

about some of these things that we are pursuing to bring a

capability perspective to our acquisition. The disciplined

acquisition of individual systems is essential. It's critical

to building capability. But it's not enough. And I want to

little bit more about how we're integrating across the

talk to you about some of that not enough. Then talk to you a

capabilities, acquisition, resourcing, and personnel processes 25 of the Department. I won't dwell on this chart. I think we all

- we, I mean not only the acquisition community, but the entire
- Department of Defense and the industry team. The public team
- 4 that works with us on the requirements side, it is adapting to a
- changing world. Understanding the war fighters needs, and
- coming up with the solutions to those needs. Not all of which
- are material. Overcoming our traditional bias, it's no surprise
- the services have biases, but we all have biases. We have to
- move beyond those to objective consideration of how to do
- 10
- business. and we've talked about transformation a lot, but we
- have to move to transform the military and it's capabilities to
- meet future needs of the nation. On the acquisition side, there
- you see on the right I'm going to talk a little bit more about
- 14 the notion of acquiring systems of systems where no one system
- brings all the capability that is necessary to meet the war
- 16 fighters needs. That we need to organize and bring together
- systems to do that. Making choices about individual systems not
- 18 in isolation, but in a joint context transitioning technology
- rapidly to take advantage of what it promises.
- 20 But once we embark on individual programs, make sure we
- have a good foundation. We understand the complexity we're
- embarking on and that we have good technical plans to deal with
- that complexity. Working to strive for or to deliver what we've
- promised on schedule and within budget. The resource decisions
- you see they're on the left. The PPBES Planning, Programming,

- 1 Budgeting, and Execution System. Laying a good analytical
- foundation for the choices that we make. And aligning our
- 3 budgets with the decisions made in the acquisition process.
- 4 People are part of the system. We've got to take account of
- that in the design. But also in the future support.
- Recognizing that the bulk of our costs are problems we spend in
- 7 life cycle support beyond the delivery of the systems. These
- systems that we develop today are going to be around for 25, 30
- 9 -- we've got airplanes that are projected life will exceed 50
- 10 years. So, how do we respond to these challenges? How might wel 0
- 11 do better? We think that a capabilities based approach to
- 12 acquisition is one very useful way to do that. That extends the
- 13 focus beyond these individual systems and traditional focus of
- 14 the way we organize and present our budget to congress. And the 14
- 15 way congress authorizes and appropriates is on and individual
- 16 systems basis largely. We think we need to move beyond that
- 17 focus. Make decisions on those individual systems within a
- 18 broader context. Engineer from the out set the relationships
- 19 among those systems. Wire them together in a way that work.
- 20 Don't rely on cobbling this together when we're out in the
- 21 field. And we need to harmonize across the major streams that
- 22 flow through this framework of capabilities, and acquisition,
- 23 and budgets. In order to integrate the Department's activities.
- I've got another chart. I think all of these charts will be
- 25 available in some fashion to you. But what this illustrates is

- Page 24
- gray space in the middle, and I'll talk about the gray space on
- 2 the next chart. There's a lead change we use you call it a hand
- off between the war fighter activities on the left side of the
- 4 chart. And the provider or acquisition activities on the right
- side of the chart. And that's not quite the right way to think
- 6 about it. Because there is an engagement across that continuun
- 7 by those parties. But there is a lead change. And you see there
- 8 outlined there on the right that the 5000 guided disciplined
- 9 acquisition process applies to systems. The little rainbow
- chart you see on there is something called roadmaps. I'm going
- to tell you about road mapping and roadmaps. Which capture 11
- 12 where we are. It's the way of the future. It's an innovation
- in our activity over the last few years. You see some 13
- milestones across the top. The little red diamonds. Those are
- called capability area of reviews. Again, that's an innovations
- 16 in the Department over the last couple of years. I'm going to
- 17 talk to you about capability area of reviews and how we think
- 18 that brings a useful perspective to the decision maker. Now
- 19 that same chart is captured across the top. But what I've
- 20 illustrated here is the engagement of the acquisition community
- across that spectrum of activities. An engagement that we 21
- 22 characterize on the left had side as systems and mission
- 23 integration. Those are steps to work with the war fighter. The
- 24 where, when, how, and what circumstances it's intended to
- extract from that dialog. Answers to questions what systems

- 1 looking at the top left. In yellow you see strategic planning
- guidance, defense planning scenarios, family of concepts,
- transformation. The former requirements process has been
- described as stove piped. Services generate individual
- requirements. The sell them to the joint community. And go off 5
- and pursue the acquisition of systems to meet those
- requirements. New requirements or capabilities process derives
- needs from broader strategic objectives. The National Security
- Strategy, the Homeland Defense Strategy. If the strategic
- 10 planning guidance and the policy guidance from the Department
- 11 that launches this capability process that Mr. Cochran outlined
- 12 for you earlier. Capabilities based assessment, that purple 13 area. Where the war fighter in disciplined fashion comes to
- 14 understand their needs. But also to identify gaps and short
- 15 falls, excesses in capability, and then finally embarks on a
- 16 solution analysis and says okay, how might we solve these
- 17 problems. Some of you heard the term MLPBS. Training,
- 18 leadership, personnel, facilities, the solutions are not just
- 19 material. You can organize your people differently, you can
- 20 provide training, you can provide facilities so the solutions,
- 21 that capability solution set has to include a broad spectrum of
- 22 responses. What we acquisition are most interested in those
- 23 things that become material or facilities or support
- 24 requirements. Those are the ones we're most interested in
- 25 organizing our activities to fulfil. As you move through the

- would you bring together, how would you organize them to deliv
- that capability? It's about defining relationships with other 2
- 3 systems and emerging, I hate to use this term, architectures
- because not everybody uses the term the same way. But things
- like the global information grid. The way we aim to exchange
- information in the future. Starting the process to translate
- 7 those board views, abstract views that the war fighter needs.
- The technical details that someone can go off and engineer and
- develop a system. The right hand side you'll see the systems acquisition activities. Again, we've talked about those already t 10
- 11 today. A fairly orderly process that if you can -- for those of
- you that can read the rising right hand side of that V, you will
- 13 see the term integrate systems, up systems, assess portfolio
- performance. That's the notion that to apply a capabilities 14
- 15 based process we've got to look across individual systems to see
- what they bring when the operate together. So they're 16
- 17 engineered and operated well together. Underlying it all, you
- see across the bottom again Chuck Cochran did a good job of 18
- 19 laying the foundation. He said it is an engineering process so
- systems engineering is critical to our future success. And I'm
- 21 pleased to say that under the leadership of Michael Lynn, the 22
- former Under Secretary, and Ken Krieg the current Under 23
- Secretary for Acquisition Technology and Logistics were workin 24 to revitalize the systems engineering in the Department. So
- 25 when you talk about acquisition it doesn't necessarily lead you

- to think about systems engineering. But part of the reason, I'm
 absolutely persuaded part of the reason that we have not always
 delivered what we've promised on time, within budget is because
 we've lost sight of how to apply good systems engineering
 practice to the way that we do business. And I would love to
- talk to anybody at length about the importance of systems
 engineering to what we're doing to revitalize it.
 The capability area of reviews, let me tell you I'll start
- 9 by saying how do I think of this answer to the activity. In
 10 simple terms it's like you go up to 20,000 feet and take a look
- 11 across the battle space. When you do you see more than you see
- 12 at ground level. You do see the individual systems, but you see
- 13 the relationships among those systems. You see capabilities
- 14 that don't exist in any one system. No one system can deliver a
- 15 shared picture. Each one of the systems that participates has
- 16 to contribute some portion. That has to be again then brought
- 17 together to create that picture. You see gaps, you see
- 18 overlaps, you see the need for different management constructs
- 19 to coordinate behavior across that capability area or among
- 20 those systems. You see the need for engineering. The
- 21 relationship among those systems. You see new challenges for
- 22 testing across those systems. So, recognizing this, the Under
- 23 Secretary, with the participation of the Defense Acquisition
- 24 Board has met periodically over the last two years to look at
- 25 capability areas. To take a different perspective looking

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- capability area across that MLPF space. It's not just material, it's lots of other things too.
- And we accept the nature of the roadmaps. Our topics, we have a roadmap for electronic warfare, joint battlefield
- 5 management, all sound things. But we also have one for unmanned
- 6 air vehicles. So we've got a number of different types of
- 7 roadmaps. We're working on, as I said earlier to start in sort
- 8 of the version zeros, where it first past through, where are we,
- 9 where do we think we are, what's in the pipeline, what are we
- 10 doing? And then over time through analysis and good thinking,
- 11 come up with a notion of where do we want to go to meet our most 12 critical needs.
- 13 The note at the bottom is important. The decision making
- 14 process across the Department is very difficult. Because no one
- 15 roadmap stands paramount over all other capability areas or
- 16 interests. So even the decision that you make in one, have to
- 17 be balanced. And so we recognized that going in. I talked
- 18 about making choices about individual systems, in broader
- 19 context. This is a chart, it's a version of the chart that was
- 20 used at a defense acquisition board review here recently on the
- 21 armed reconnaissance helicopter. I've had to simplify it in
- 22 order to share it with you today. But what you see there, just
- 23 a very simple graphic illustration in the center is that armed
- 24 reconnaissance helicopter. In the past we would probably look
- 25 to make choices about the structure of that program. What

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- through an acquisition, but a different perspective not looking a individual systems, but looking at portfolios or collections
- 3 or assemblages of systems. And in so doing to identify needs,
- 4 short comings, opportunities, and as a result we've gotten
- 5 guidance, we've gotten direction, we've gotten some useful
- 6 activity to flow out of those things. My note at the bottom,
- 7 wide participation is essential, it is -- this is not something
- 8 that any one organization can do, so among our challenges is
- 9 bringing together the services, the commanders, the joint staff,
- 10 the Department of defense staff to come to grips with these new
- 11 approaches.

2

- 12 Another tool that we're using increasingly is roadmaps.
- 13 Roadmaps provide a framework for decisions. And what I mean 13
- 14 around that, is that something that you write down in English
- 15 words, complete sentences with punctuation. A referencable
- 16 collection of information, we're not as online yet as the
- 17 Defense Acquisition University. Our pioneers are. But we are
- 18 working in that direction, so that it's not what we call
- 19 shelfware, it is a useful reference. But something to prompt
- 20 decisions to reveal the need to actually confront issues and
- 21 make decisions. As a body of information, to inform those
- 22 decisions and then as the decisions are made within the
- 23 individual processes, whether it's the capabilities process, the
- acquisition, or budget processes, to capture those decisions
 that are made. It does lay out in essence a strategic plan, or

- expectations we assigned to it. When we wanted it to be delivered, how would we go about testing it, what its cost might
- be, and what the return on investment would look like.
- Without thinking about all of the others systems and
 - programs with which it must interact. If you step back and get
- up to a little higher altitude and look at armed reconnaissance
- helicopter. You see a raid around the edges around of that
- 8 chart, the many systems with which that armed reconnaissance
- 9 helicopter must interact over time in order to be successful.
- 10 Those arrows illustrate whether that program, or that system
- 11 gets something from others, or if the arrow points out, whether
- gets something from others, or if the arrow points out, whether
- 12 something developed in that program is made available for use b
- 13 others. And what I washed out of this chart unfortunately I had
- 14 to, is the array in those open boxes, cost, schedule,
- 5 performance, status information.
- So you can see if everything is green, and there's a
- 17 critical dependency you know you're on the right track. If
- 18 everything is red and then there's a critical dependency and you
- 19 know management has got to take some action to respond. So the
- 20 is something that we use at every Defense acquisition board of
- 21 review, everytime we look at an individual system, we are
- 22 stepping back and taking a broader look to understand those
- 23 relationships. We think that will lead to better decisions.
- Another one of our innovations is thinking in terms of systems of subsystems. And that occurs in areas like adult

- 1 management, surveillance, and reconnaissance, it could be as
- simple as combat search and rescue, or close air support, if
- 3 it's on the ground lots of times, I think in terms of bringing
- 4 systems together and how do you organize them to deliver
- 5 capability. There are a number of functions that must be done
- well in order to bring those systems to fruition. And it starts
- with aligning the expectations. What do you ask of each of the
- individual systems. Synchronizing the activities and programs
- so that over time the schedules are lined up in ways that things
- 10 come together when they should.
- 11 That's never easy. It's difficult when you try to do that
- 12 with an individual service. But when you have systems of
- 13 systems that are made up of systems that cut across service
- 14 lines, Army, Navy, Air Force, Marine Corps. Or perhaps things
- 15 developed by other agencies, that gets very difficult. So this
- 16 notion of synchronizing of programs, again we talked about an
- 17 acquisition program, focused on individual systems with a
- 18 Program Manager for that system.
- 19 Now I've got a number of Program Managers, each managing
- 20 their own program, and how do you synchronize, how do you align.
- 21 how do you harmonize across those programs. Similarly systems
- 22 engineering, if you have to make sure that you put the pieces
- 23 together in a way that works, then you have to think about
- 24 engineering again across those system platform lines. Testing,
- 25 you can't always afford to stage an amphibious landing everytime

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- can show over time, people how we will actually build and
- 2 improve our capability by the work that we do with individual
- systems, and the way we integrate across those systems.
- 4 My last chart, there are current challenges, Mr. Patterson
- 5 talked to you about the budget contract environment and the fact
- б that that will bound us in many ways. The complexity of
- individual systems is increasing and that is compounded when w
- 8 think of them as systems, or systems of systems. The war
- 9 fighters appetites can be unconstrained. But they are
- 10 constrained by cost and schedule. This notion of network
- 11 centric, that's a discussion for another time, how we will
- 12 exchange information in the future. It puts new demands on us
- 13 as to how we bring these systems together. I talked about the
- 14 challenges of management structure. How do you manage a cros
- systems platform program service lines. The importance of
- 16 system engineering is fundamental to success how do we see to
- it. Share your best practices, and apply them. And then my 17
- 18 bottom line, is this is about people. It's about good people
- working hard and doing a good job, so we need to make sure that
- 20 our acquisition workforce has the skills that they need to be
- successful. That's the job of Frank Anderson the President of 21
- 22 the Defense Acquisition University, but it's also the job of all
- our supervisors and managers across the Department. General
- 24 Kadish, Panel, I look forward to any followup activity.
- 25 Anything I can do to help you, I'd be happy to.

- you want to test a V-22 or expeditionary fighting vehicle, or
- launch a global strike task force in order to test the F-22
- communications.
- You have to learn how to test across those lines, allocate
- resources in a wise fashion. Fielding capability. Some one has
- got to think about what capability you put in the field, so
- hardware, software, the people all arrive in theater are
- properly trained. The doctrine in hand is properly trained to
- do their job. And ultimately you have to organize to sustain
- 10 that capability over time.
- 11 The next chart merely illustrates one of those systems of
- 12 systems the Department is confronting, and that is integrated
- 13 air missile defense, and you can just look at the complexity of
- 14 the graphics to see what it means to the Air Forces, Naval
- 15 Forces, Marine Corps and Army Forces operating together to
- 16 control the battlefield, the battle space.
- 17 This chart is an extract from one of our red maps, and
- 18 it's busy, because there are dozens of systems that contribute
- 19 to integrate air missile defense. What you see on the left is a
- 20 listing of those systems, little taco chips, we call them little
- 21 milestones. Milestones to illustrate the schedules that we want
- 22 to synchronize in the line over timing, because this is a
- 23 notional illustration. I can't give you the specifics, but
- 24 across the we can illustrate what our capability is to detect
- 25 critical assets for small areas. Or wide theater areas, and we

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- 1 Mr. Kadish: Thank you Glenn. We're going to make a real 2
- time adjustment for the purposes of the panel members. Don't 3
- leave yet. Instead of waiting until all the briefings are over
- today, I would like to ask you two questions. Speakers, real
- 5 time now. And that way we won't miss anything by waiting today
- So if you don't mind.

8

- 7 Mr. LaMartin: Sir, I'm at your disposal.
 - Mr. Kadish: Does anybody have anything they would like to
- 9 ask of Glenn or Chuck? One of the interesting things I found in
- 10 your briefing that you emphasized was the value and the fact
- 11 that systems engineering process in government is fundamental t
- 12 the process. Quite frankly I've not seen that emphasis prior to
- 13 your briefing today in terms of the way we actually operate in
- the Department. This seems to me to be a new area of emphasis, 14
- 15 and I would be interested in your comments about the ability of
- the government, given the nature of this task today and the
- 17 downsizing over the years to actually do that systems
- 18 engineering.
- 19 Mr. LaMartin: I really appreciate your asking that
- 20 question.
- 21 Mr. Kadish: I bet you do.
- 22 Mr. LaMartin: I really do, because I said I would love to
- 23 talk some more about it. I've been in my job just over three
- 24 years. When I took my job I was given the challenge of helping
- 25 to revitalize systems engineering across the Department of

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- 1 Defense. To that end we established an organization for systems
- 2 engineering. One of the deputies in that office, Bob Samlamaras
- 3 is my Deputy Director for Enterprise Development. Which is the
- 4 development of the enterprises systems engineering across the
- 5 Department. We've issued some policy, it's very thin policy,
- 6 but we think very effective, you say each program shall have a
- 7 systems engineering plan, again, write it down.
- 8 English words, complete sentences, with punctuation, how
- 9 are you going to carry out the technical activities in your
- 10 department, your program, to integrate the technical and
- 11 programmatic activities. That plan will be approved by the
- 12 milestone decision authority. We've said that your technical
- -- Inflotone devictor dataset; The tental data year tental
- 13 reviews shall be event based, not schedule driven. So that
- 4 you'll only make those technical decisions when you're ready.
- We've said you'll call outside advisors to lead or
- 16 participate in the those technical reviews to make sure you
- 17 don't drink your own bath water. And so we've embarked on
- 18 implementing this policy in the last two years.
- 19 We've got some almost three dozen systems engineering
- 20 plans, that are either approved, or in the process of review.
- 21 We are engaging with individual programs, we're organizing teams21
- 22 to sit down program Managers in a constructive way, and help
- 23 share best practices to look at their plans and give them advice
- 24 and feedback. We're learning. I mentioned I've got puppy at
- 25 home, she's just a little older and I trust, and we think we're

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- looking at how Program Managers have organized to deliver,
- 2 including whether it's IPT structures, who's been assigned, how
- 3 much money they're going to spend, we will continue to stress
- 4 it. And it's a thrust for education. You did ask originally, we
- 5 have to look at our customer in this regard, and I think we need
- C . 1- 1- 1- 1----
- to do better.
- So with the Defense Acquisition University will look at
- 8 the curriculum, their technical courses versus engineering,
- 9 we'll make sure that curriculum is good, and there are also
- 0 courses in financial management and contracting, general program
- 11 management, test and evaluation to make sure that the treatment
- 12 of systems engineering in those other courses is good.
- 13 Outreaching with industry, other professional academics,
- 14 institutions, the Air Force has technology engineering
- 15 outright.
- 16 Mr. Patterson: There's a wide spectrum of engineering.
- 17 Mr. Kadish: Anybody else?
- 18 Mr. Kozlowski: How do you implement that as a requirement
- 19 on programs?
- 20 Mr. LaMartin: Well policy says you shall have a plan.
- is21 There's a policy memorandum from the Under Secretary mandating
- 22 that, and it will be embedded, folded into the DOT 5000 update
- 3 when it occurs. What that means is the Secretary will take the
- 24 most high visible programs. The Under Secretary personally will
- 25 approve that plan, and similarly the lower acquisition category

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- starting to make a difference. I don't think we know who to
- 2 look at systems engineering. We have failed to emphasize it,
- 3 and you've heard the expression what gets watched, gets done.
- 4 And if the Department doesn't emphasize it, in either its
- 5 assessment of who's best qualified to do the job, or if the
 6 Program Manager doesn't emphasize it looking at an individual
- 7 program and improving it's structure, or guiding its
- 8 contractors, then they may too quickly trade that off for other
- 9 things as a function of expediency or efficiency.
- 10 Mr. Kadish: That is one of the areas I was going to press
- 11 on. In the sense that I understand what you're doing in terms
- 12 of process. And the emphasis that you're putting on it. But
- 13 personally I have a lot of anecdotal evidence that says that
- 15 personally I have a lot of affectional evidence that says th
- 14 says that's the first task in cutting the budget, buy program
- 15 offices. And even aided and abetted by the Congress in it's
- 16 oversight of the programs. Do you see that as a significant
- 17 problem in the way this process adjustment will be corrected?
- 18 Or is there a very significant attention to the funding?
- 19 Mr. Lamartin: I think we can trace many of our problems
- 20 that have resulted in cost growth and schedule delay to failures
- 21 of systems engineering and its application. So I know that from
- the Office of Secretary of Defense perspective we are going to
- 23 stress it, we're going to insist on these plans as a condition
- 24 for going forward in the program. We're going to review
- 25 programs, and offer our best advice. But we're going to be

- programs, those milestone decisions we think that will help
- Mr. Kozlowski: One comment on Chuck's presentation. On
- 3 the first chart, when he sort of describes requirements,
- 4 budgeting, process requirements, money, and then and then go out
- 5 and buy acquisition. Whereas my view is many people look upo
- 6 that entire bucket of requirements, dollars, buying, fielding,
- 7 and supporting, that's all part of the acquisition process.
- 8 It's I don't have an answer. It's just a comment that the
- 9 term acquisition, at least for the purposes of this panel is
- 10 very broad, it's the whole picture.
 - And sometimes you see people using the terms
- 12 interchangeably.
- 13 Mr. Cappuccio: Three questions. Number one, 25 years ago
- 4 we had systems engineering plans called SIPS, I would like your
- comment on how those things differ today, and what we did 20
- 16 years ago.

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- 17 Mr. LaMartin: Many programs still have systems
- 18 information management plans. Many plans call for those. Those
- 19 generally describe the contractors plan for integrating
- 20 activity. We think the systems engineering plan gets up to a
- 21 higher level, to the Program Manager.
- 22 So it's not only the contractors activity, but it's the
- 23 government's technical activity as well and how they will cut
- 4 across both the technical and the programmatic activities master
- 5 plans, master schedules, configuration, control, test and

- 1 evaluation, risk management. The decision uses the technical
- 2 plans, the reviews and so on and so forth. We think we're
- 3 adding value by getting up to the Program Manager's approach.
- 4 Mr. Cappuccio: A second question. My experience, and
- 5 this has been systems engineering is a process. System
- 6 engineering is taking the execution of the program, and the
- 7 people that think of the systems level is entirely different.
- 8 And experience today with IPTs would suggest as IPTs on both
- 9 industry and government stovepipe the systems engineer, the old
- 10 fashioned chief engineering in his head, that was able to tell
- 11 the landing gear IPT you don't get it. That's not where you're
- 12 going to take an extra 10 pounds of weight.
- What are we doing in the government to get back to that
- 14 "systems thinking" or Chief Engineer mentality, as opposed to
- 15 getting hung up with the process of systems engineering. The
- 16 process meaning flow down requirements can I trace.
- Well tracing a requirement in engineering process is one
- 18 thing, and engineering it to do the trade studies as the
- 19 dynamics is something that both industry and government has had 19
- 20 a very hard time with. Particularly as the systems get complex.
- 21 Do you see that as the next evolution in the university to
- 22 start identifying those groups of individuals who are
- 23 personality traits that really think at a system level.
- 24 Mr. LaMartin: You've got your thumb on a real challenge.
- 25 And that's why I've given Bob Scalamaras the job of Enterprise

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- 1 on a six sigma process. Toyota, production a lean process.
- 2 Everyone who's looking at things is not satisfied with the
- 3 status quo. Yet we seem to continually, to march to right it
- 4 down in the regulation and policy and it's good. As opposed to
- 5 continuously trying to improve it based upon requirements
- 6 technology.
- 7 Mr. LaMartin: Sir, thank you for that comment. It
- reminds me to mention two other things. Number one, we've
- 9 established a systems engineering forum that we lead and it's
- 10 got representatives from each of the services across the
- 11 Department of Defense, but it also we've reached other
- 12 agencies outside of DoE, or DoD, to include the Department of
- 13 Energy, NASA and others. And that forum meets monthly to do
- 14 what you suggest and that's to think about how are we doing
- 15 business. What works, what doesn't work? How do we get bette
- We had a town hall meeting, where we brought in the
- 17 Program Managers, engineers from across the Department. Had
 18 over 200 people, two days, and said all right, here is our
- 19 policy. It's new, it's fresh, how's it working? How can we
- 20 make that better. So we're not just writing down the policy and
- 21 handing it over, we're striving to improve what it is we're
- 22 asking and how we're engaging. But I think the missing thing is
- 23 there's nothing in the policy that stresses the continuation
- 24 process.

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25 Mr. LaMartin: There's nothing in the policy that stresses

- 1 Development. Because there's no one single thing to be done to
- 2 make all of that right. We just looked at it last year, at one
- 3 of our biggest programs in the Department, and we found they had 3
- 4 a Chief Engineer, but he was not empowered in a way to be
- 5 effective across those stovepipe IPTs, to dialogue with the
- 6 contractor. They came to recognize and appreciate that. But in
- 7 a skilled individual who could apply that perspective, position
- 8 them in a way that they could be more effective.
- 9 Mr. Cappuccio: The last question you said something
- 10 interesting, I'm not sure you meant it, but it came out this
- 11 way. You were using systems engineering, and the quote was,
- 12 event driven versus schedule.
- 13 Mr. LaMartin: Technical reviews.
- 14 Mr. Cappuccio: How do you stop the contractor from
- 15 becoming so event driven that schedule is irrelevant, i.e. cost,
- 16 i.e., overrun. If the systems engineering process endorses the
- 17 overrun. You're not meeting events. I know it's an unfair
- 18 question. But it is an interesting process. In industry the
- 19 emphasis can be so much on event, that schedule slips out.
- 20 Without worrying about cost, particularly not at the high
- 21 levels, but at the lower ones, again the IPT level.
- 22 Mr. LaMartin: Sir, you're revealing your insight into the
- 23 process. You've got those challenges.
- 24 Mr. Kern: One quick question I've heard no mention
- 25 throughout this of continuous improvement processes. GE focuse

- 1 continuing process improvement.
 - Mr. Anderson: In the systems engineering policy, but
- 3 there are initiatives ongoing that are focused on, and addresses
- the issue of improving how we do business.
- 5 Mr. Kern: Program, by program?
- 6 Mr. Anderson: And as a group, right. There are some
- 7 corporate things that have been worked on, but a group if you
- 8 focus on any single part of the system as being the answer we
- 9 miss, because we have to think holistically about the whole
- 10 process. And the initiatives need to be focused on that point.
- 11 And there's also a cultural aspect of how we do business.
- 12 Mr. Kern: If I could just add one quick one. We're
- 13 eliminating things that don't add value. We don't eliminate
- 14 things, we just add things.
- 15 Mr. Abbott: It seems to me, this morning we talked about
- 16 described what some might call legacy system, acquisition
- 17 systems, by legacy programs how is this system we've describe
- 18 be agile enough to support the war fighters, not only engaged i
- 19 the war that does not look anything like what those legacy
- 20 systems were designed to buy, or the legacy system was actually
- 21 designed to provide.
- 22 Mr. Cochran: Let me try that. First of all policy is
- 23 policy. It's an engineering process. You can't just dictate
- 24 we're going to answer the war fighters requirement for a new
- s25 advanced technology fighter aircraft within a year. It isn't

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1 going to happen. What we've done with this process and this is not the same process, we've recognized that you ought to move 3 things out of the science and technology area faster. For

example, Predator unmanned air vehicles. The CIA and Air Force have had great success with, that started over here, very close

6 to production. Moving it out of the science and technology

area, into the acquisition process.

7 8 Years ago we would started that way back here and put it 9 through all the wickets, and all the oversight and all of the requirements, and all of the reviews of standard DoD 5000 process. The intent, and don't forget the intent of policy is 11

12 not always the way the policy is executed. The uninformed may 13 look at a chart like this, hey when do we go to concept

14- decision. Whether it's needed or not. Instead of having a

15 bigger understanding, and I think you're right, this is the big

16 A process. This is not just the acquisition process. We're 17 going to consider it as a much larger picture. A little

18 thinking about where we are from a technology standpoint, and if 18

19 we're ready to move things into the process rapidly. Move them

20 in rapidly. If there's something already in the pipeline, get

21 on with it. Get it procured, get it fielded. You don't have to 22 go through the process at all.

23 Mr. Kadish: Okay. I think we need to move on at this point. I would like to thank Chuck and Glen for coming in

24 25 today. I think given where we are right now, we're about 20 Page 44

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Mr. Griffin: My name is Leo Griffin, I'm a partner at Monitor Group, which is a global advisory firm, consulting firm. Which works with the most senior leadership of industry and government around the world. Helping them to make decisions, supporting them in decision making around their most intractable problems. So it's a great pleasure to be here today to talk to you. I'm delighted and privileged to have the opportunity. My goal today is to talk to you a little bit about the

literature around acquisition reform. There is an enormous amount of this. I spent much of the last month helping together

with our team to read about 750 academic papers on the subject. So I'm hoping in the next 30 to 40 minutes to transfer all of

13 the knowledge from that into your heads.

Just one quick point before I get started. You will 15 notice on the bottom right hand corner of our charts that is says that the information contained here is proprietary to Monitor. For the purposes of this oral briefing, none of the 17

material that I'm going to cover we consider proprietary. 19 This is a repeat of a slide that David Patterson has

already shown, but I think it is worthwhile repeating. As David

mentioned there are currently over 80 new major weapon system programs under development. And the best calculation is that w

23 can afford about 60 of them. They've got a combined cost grow

24 of about \$300 billion. The GAO asserts that the top five

25 programs have increased in cost over the past four years by

1 minutes into our deliberations. So why don't we just take our 2 scheduled break?

3 Mr. Patterson: What I would to do is to - we were

4 supposed to have one more briefing. Let's take a break and come

5 back with the briefing.

6 Mr. Kadish: We will reconvene at five past.

7 (Break)

8

(Audio problems)

Mr. Patterson: Thank you all for returning. For those of 10 you who have been inspired by this effort, you can look for a

11 DAPA website next week, that will allow you to have public

comment and to put in your best designs, your crayon drawings 12

whatever you choose to put in, it will be able to accommodate 13

it, and because we are scrious about looking for ideas and

15 comments from the public, otherwise we would not be going

16 through this exercise. So with that - and yes, the briefings

17 will be available, and please we're not going to print a whole

18 bunch of them, but if you want copies of these briefings please

19 if you'll make those desires known to us, and they will be on

20 the website.

Mr. Giglio: And LSD Public Affairs will have too, next 21 22 week.

Mr. Patterson: Thank you, our next briefer is from the 23

24 Monitor Company. We're going to talk about the literature search, the methodology and how we went about that. Please.

1 almost 100 percent from \$281 billion to \$521 billion.

This to me really speaks about why should we thinking about acquisition reform, why is this important? And this trend isn't abating as we've discussed those five programs in the last year, grew in cost by just over 14 percent, and schedule overrun by about five percent. And that is just in one year.

7 And people have been looking at this problem for many many years. We just looked at the last 20 years. But as I will show you there have been attempts to think about acquisition reform for a much longer period of time. And this to me really speaks

11 to the question of why should one take a different approach to

acquisition reform. Simply put, it's because we've been trying

13 for a very long time to do this, and while many improvements to the system have been made, many of the problems that we've been

grappling with for a long time, remain. So here's a little more

16 historical context, and I think this is a little overstated.

But it does demonstrate that there's a long standing issue since

18 the revolutionary work. Critics have referred to Defense

acquisition as primitive practices, hubbled by complex rules,

conducted by untrained personnel resulting in defense industry

21 profiteering, poor supplies and equipment, and cost and schedule

22 overruns.

23 What I would say there is that in fact does illustrate

24 we've made some improvements, because while I think that people

would not argue that some of the rules remain complex, and we

- continue to face cost and schedule overruns, I would dare not
- 2 say that the Defense acquisitional personnel are untrained,
- particularly as I stand before the President of the Defense
- acquisition university. In response over the next 200 years,
- Congress passed more than 4,000 acquisition related statutes.
- 6 The GAO has issued more than 900 reports, and since World War
- 7 II, 12 major commissions have made acquisition reform
- recommendations. This is a quote from a 1996 paper" The ghost
- of acquisition reform, past, present and future and in fact over 9
- 10 the last nine years, there have been continued attempts at
- 11 reform.
- 12 So you can increase all of those numbers. So just a
- 13 little bit of background for our support to the DAPA project.
- 14 The belief is, that in order to understand the current
- 15 acquisition system, and the reform choices that we need to make
- 16 It's important to understand the evolution that the system, and
- 17 how we got to where are today. And so this is the role that
- 18 Monitor was asked to perform, and we have done over the last
- 19 couple of years, a significant amount of work for the LSD and
- 20 for the U.S. Air Force leadership. And so I've got a good
- 21 background in this topic. And I've been delighted to be
- 22 involved.
- 23 This briefing summarizes our approach and it gives you
- 24 some flavor of where we have been going with this. It's pretty
- 25 much a work in progress. There remains there are significant

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- 1 Just some principles for the approach we have been using.
- 2 First of all, obviously since we've been looking at the
- 3 literature over the last 20 years or so, and the reforms over
- 4 the last 20 years, we're very focused on learning the lessons of
- 5 history. As I've said, an enormous amount has been written
- 6 about this, there have been very many great minds that have been
- 7 applied to this problem. It's been looked at from an enormous
- 8 number of angles. And so I think on understanding of everything
- 9 that has been said about this, all of the ideas that have been
- 10 suggested whether or not they have been implemented is
- 11 essential. And so we have been able to provide the panel with
- 12 all of that insight.
- We're using a systems thinking approach. And there have 13
- 14 been a number of conversations this morning about systems
- 15 engineering, and I want to make a little bit of a distinction
- 16 between systems engineering and the systems thinking approach
- that we have been using. We're beginning to use a term what we 17
- 18 call systems dynamics.
- 19 Essentially what we're trying to do is to understand the
- 20 performance and the direction of the Defense system by looking
- 21 at all of the different players that are involved in the system,
- 22 whether it be the war fighter in the services, the primes and
- 23 the sub contractors, Congress, and the various budgeting
- 24 offices, to understand how all of those players act and interact
- 25 together. And how the actions of one might impact the decisions

- amounts of literature that we are still working through, and we are looking forward to continuing to work on them. This slide,
- gives you an overview of what it is we've undertaken over the
- last month or so. First to identify and catalogue relevant
- literature on the acquisition system, and other pertinent
- enterprise system since Goldwater-Nichols. So we've gone back
- 20 years. We have reviewed, synopsized and prioritized the
- documents for relevance to this particular project. And as I
- will discuss in a little bit more detail, we've looked at 100s
- 10 of documents in the process.
- 11 Number 2, we have been looking at the significant
- 12 acquisition initiatives over that period of time, so we've
- 13 looked at the actions that have been taken and have worked to
- 14 assess the effectiveness of each of those initiatives towards
- 15 achieving the outcomes that were desired. And we have created a
- 16 comparative analysis of all of the different acquisition reforms
- 17 over the last 20 years.
- 18 And finally we're working to develop an integrated
- 19 historical point of view on acquisition reform and its success
- 20 subsequent to Goldwater-Nichols. So looking at what have the
- 21 critical gaps been in past initiatives, and developing a point
- 22 of view on what would need to be done in the future in order to
- 23 successfully reform the existing acquisition system. So what's
- 24 worked, what's missing, and therefore how should we be thinking
- 25 about it, us going forward.

- Page 49 1 and actions of another. To understand the performance of that
- total system. So we're looking at all of the players in the 2
- 3 Defense acquisition system and we're looking at their
- interactions. And we're examining the constraints within the
- 5 system, and the things that DoD can change or influence from a
- policy level to think about how all of this interaction works,
- 7 to produce the results that we see.
- 8 We've also been looking at the drivers of these different
- 9 behaviors, so what are behaviors that we see and observe. And
- 10 what's going on underneath the surface to drive those, to
- 11 influence those behaviors, and we're looking at the crucially
- 12 not just the processes that DoD uses in order acquire weapon
- 13 systems but also the underlying structures that exist. And the
- 14 governance that exists in the system because all of those things
- 15 have, we believe a significant impact on the outcomes.
- Out of all of this we're working towards developing some 16
- 17 recommendations for the panel and principles of how they might
- 18 begin to think about redesign.
- 19 So I want to talk a little bit - I've structure this
- 20 discussion along those three main elements of our approach, so
- 21 first of all I'm going to talk a little bit about the relevant
- 22 literature. I mentioned that we looked about 750 acquisition
- 23 reform publications. In fact did do a search on Google, and to
- 24 constrain search to just looking at the .mil area of the worldwide web, and you just look at PDF documents, so papers.

13 (Pages 46 to 49)

- 1 And you say I want to look at acquisition reform, give me
- anything that talks about acquisition reform. There's about
- 5,600 weapon systems come up. Five thousand, six hundred 3
- documents that are out there that discuss acquisition reform so
- we used a process to filter those down to about 750 publications
- that's as of a couple of days ago. And so the first cut was to
- 1,500 then we got to 750 and screened through those to narrow it
- 8 down to about 255 highly relevant publications.
- 9 And the way that we did this was by reading them. So I've
- 10 had a lot of bedtime reading, and we've made all of those 255
- 11 documents available to the panel, on our website for them, so
- 12 they are able to consult them as well, and we have provided
- summaries and synapses on varying levels of depths of the most
- relevant papers we've produced quite detailed synapses of those 14
- 15 papers.
- And then we weighted all of those papers by relevance on a 16
- 17 1 to 5 scale, and the most relevant which I think was 134
- 18 documents we used to produce what I would describe as a metro
- 19 analysis of the literature. So about 1,500 documents published
- since 1986 government, academic, and third party sources were 20
- 21 examined in some detail. 750 of them found to be relevant to
- 22 this particular area of acquisition reform, 255 identified as
- 23 relevant to this study, and the key sources that we used
- obviously DoD, well respected commentators such as Rand, the
- 25 papers from Defense Acquisition University, the GAO, Congress

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- general consensus on the problems, overall there's been little
- done in the implementing successful solutions. So I talked a
- little bit about the three areas of the literature. This
- provides a little bit more detail, in the mid 1980s fraud, waste
- and abuse was dominant. It focused on non-competitive pricing
- and contractor waste. There has been some resurgence around
- 7 2000 on this topic due to some concerns about contractor
- integrity violations. From about 1993 the better, faster,
- cheaper being a theme emerges as dominant in the literature.
- 10 There's a focus on fundamental changes in the acquisition
- process. So streamlining. Adopting commercial practices, and
- buying commercial off the shelf technologies and components.
- And from about 1997 to today, you see the literature focusing on
- cycle specific recommendations around being responsive. So yo
- 15 use of IPTs increasing use of simulation and CAIV costs and an
- 16
- independent variable focus on understanding the cost of a
- program through its entire operating life. So rather than just
- focusing on production of acquisition costs, of those reduction 18
- we're also focused on the consequent L&M cost for operating the
- 20
- 21 This is just one of many charts you've seen today that are
- essentially illegible. I didn't want to come up here without
- offering at least one of my own. And in fact this is a zoom in
- 24 of a chart that is about four times larger than this, what you
- see on the top axis is the years from 1985 through to 2005. And

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so on and so forth. 1

- 2 And so some comments on that literature. Really since
- 3 Goldwater-Nichols, the literature has moved through three phases
- 4 of emphasis. And I'll show you a map a little bit later that
- 5 illustrates this. But essentially in the 1980s a lot of the
- focus of the literature was on fraud, waste, and abuse in 6 7 response to the concerns that were dominant at the time. You
- 8 then see in the 1990s a move to increase efficiency, better,
- 9 faster, and cheaper. A lot of the move towards adopting
- 10 commercial practices.
- 11 The softening of the requirement of mil spees, so on and
- so forth, and then beginning into the mid 1990s we see studies 12
- 13 that are focused on flexible response of acquisition for
- systems. So we need to make this process more adaptive to the 14
- 15 many different kinds of weapons systems that we see, the rapidly
- 1.6 changing environment for the war fighter. And so you see the
- adoption of things such as IPTs, and discussion of innovations. 17
- In recent past we've seen less and less new ideas appearing in 18
- 19 the literature, and I'll say your chart illustrates this quite
- well, and the offers of this literature seems to have shifted 20
- 21 from creating ideas, and suggesting ideas for what might be done
- 22 to reform the system, towards why aren't the reforms that are
- 23 being suggested working. And the focus is largely around
- 24 failures of implementation.
- 25 Taken as a whole what we see is that while there is

- then along the vertical axis you see a list of here, I think
- about 50 different reform themes. And the main body of the chart shows the number of papers in each year that refer to each
 - theme.
- 5 And then you can then see, we circled particular areas, of
- the chart which represent periods of time and themes of the
- literature. And so this basically shows you a zoom in of one
- part of the thematic analysis, and you can see here that in 1985
- and 1986 there was a focus on centralizing acquisition. And in
- the mid 90s we see an emphasis on streamlining acquisition
- 11 processes. So this basically allows us to map the thematic
- 12 analysis back to individual papers.
- 13 Another element of the meta-analysis that informed these
 - papers is to look at the incidences of ideas and whether they
- have whether they are new to the literature, or whether they have appeared in previous papers. And so what you see in this
- chart, is we've taken the last 25 years, in five year periods,
- and the red bars represent new acquisition reform concepts and
- the grey bars represent old acquisition reform concepts. What
- you can see is that the papers of today, seem to be cribbing a
- 21 great deal from other papers, which I'm a little disappointed
- in, essentially the body of new ideas has reduced greatly and I
- think this is because, really there is perhaps a limited
- universe of suggestions that one can make about how reform,
- acquisition reform might be achieved. And so what we see is

- 1 essentially, some recycling. And I think we're reaching the outer limits at least under the current approach thinking about
- acquisition reform of those ideas.
- So this I think leads to a couple of things. The emphasis that we see on implementation, the academic literature now says
- well we had the right idea all along, it's just that it hasn't
- been done properly. And that I think is a very plausible
- argument. Another argument which I think one might make is that 8
- when one looks at the literature, by and large I would say in
- 10 fact in 99.99 percent of the papers, that I've looked at,
- 11 they're looking at point solutions. And at there's a
- 12 particular emphasis for example on the elements of DoD that the
- 13 the elements that DoD has control over.
 - The areas where executive decision can make a difference.
- 15 and there's a particular emphasis I think on policy and process.
- Perhaps the answer is that we think more widely than that. One
- 17 other piece of meta-analysis when we look here at again over the
- 18 last 25 years, this is the emphasis of the literature, on
- 19 governance, process and structure. And what you see is that
- 20 articles on process outweigh articles on either governance, or
- 21 in both governance and structure pretty consistently by a ratio
- 22 of about 2 to 1.
- 23 So everyone is concerned with understanding the
- 24 acquisition process and how that might be improved. Step 2, we
- 25 identified and described significant acquisition initiatives

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- 1 reform initiatives. In this case from 1995 and we performed
- this, while in the process of performing this from 1985 and so
- you see on the horizontal axis, time. And the particular
- 4 initiatives - the key initiatives that have been undertaken, and
- then on the vertical axis, you see the study areas that the NAPA
- 6 panel has determined they're going to use to organize their
- 7
 - So what we've done here, is we looked at first about 100
- 9 initiatives over the last 20 years, for reform we took 28 that
- we believed were the most significant, based on a panel review.
- 11 We then mapped those in terms of how they impacted the differe 12
- study areas. The DAPA panel has devised and in particular we
- 13 looked at to what extent were they implemented and to what
- 14 extent were they successful in meeting their goals. So that's
- 15 what this chart does. The third element of our approach was to
- 16 develop an integrated historical point of view on acquisition 17 reform. Success since Goldwater Nichols to identify critical
- gaps in past initiatives and to begin to look at the point of 18
- 19 view on what the panel, to help the panel think a little,
- 20 structure some of their thoughts and make some suggestions on
- 21 some of the areas of reform.
- 22 And as I mentioned, we've been using a methodology we call
- 23 system dynamics to do this. This pyramid on the left hand side
- 24 I like to think of as the iceberg of events. That is to day the
- 25 top of the pyramid, the red portion is the portion of the

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- undertaken since Goldwater-Nichols. This is a review of the
- major commission and their findings since 1949. And you can see
- that there have been many, and I think the right hand column
- speaks for itself. Centralized DoD acquisitions, centralize,
- centralize, centralize. Decentralize. Centralize, centralize,
- 6 decentralize, centralize, streamline, centralize.
- What we do know about these is that they are revisiting
- 8 the program executive office structures, so I think it is very
- possible we will see a very different kind of recommendation
- 10 coming out of the CSIS study.
- 11 We did a thematic analysis of the different initiatives,
- 12 I'm just going to show you an example of the goal here, was
- 13 really to understand the concept of each of these initiatives,
- 14 and so what they were trying to achieve, the particular
- 15 initiatives that formed a part of that movement. The enabling
- 16 conditions for success, what it would take in order for in our
- 17 opinion and in the opinion of the literature for these reformed
- 18 to be successful. And then the tradeoffs that are implicit in
- 19 these initiatives.
- 20 So fixing one thing, what one tends to see in the system
- 21 is fixing one thing will sometimes have repercussions on other
- 22 parts of the system. And so we're beginning to try and
- 23 understand what those tradeoffs are, as you change one area of
- 24 the system what are the impacts in other areas?
 - Another I chart, this is a comparison, of the selected

- 1 iceberg that you see above the water.
- 2 You see variables, you see events, you see symptoms of
- 3 things going on. So let me give you an example. You may
- observe a reduction in a company's revenues. And there's a
- 5 question about what happens, you may see that irrelevant
- programs continue to be funded long after they should be
- 7 terminated, and main programs exceed initial cost baselines by
- about 50 percent. So the question is, why is that going on.
- 9 You need to look underneath the surface of the iceberg to begin 10 to understand what is causing the system to produce those
- 11
- outcomes. So underneath that you see patterns of behavior
- 12 Patterns of behavior are essentially, variables. How variables
- 13 change over time. So for example, prices may continually
- 14 increase, time lines may continually lengthen in the case of
- 15 acquisition weapons acquisition, advocacies may cancel any
- 16 program difficulty and they may increase the costs of the
- 17 programs over time. And industry's must win mentality drives
- 18 excessive optimism throughout the system.
- 19 Typically, those patterns of behavior are driven by
- 20 structural elements of the system. And the underlying
- 21 structures influence and create incentives for people to behave,
- 22 and organizations to behave in particular ways. And the
- 23 behaviors in those particular organizations, and interactions 24 between them cause symptoms said to observe about the water or
- 25 the top of the iceberg.

15 (Pages 54 to 57)

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1 And some of those structures for example might be 2 competing, and inconsistent priorities for funding amongst 3 participants, and the lack disincentives, or incentives for decision making towards common goals. So it might be that the 5 incentive systems that exist across this system are not driving 6 everybody towards the desired outcome.

So the goal of understanding and studying those, is to make explicit those structures, and to understand their overall impact on the system. And so I'm going to close with an illustration. In the context of acquisition on the top in the 11 blue box as you see structures are behaviors, patterns are

12 behaviors, and symptoms. In the context of acquisition, 13 structures, and behaviors are the acquisition structure of the 14 processes and it's governance and the patterns of behavior are 15 the behavior of the key system participants. And the events and

16 systems are the outcomes. The performance of the programs, 17 their success if meeting the war fighters needs. The timeliness

18 with which they're delivered. And the cost of those programs. 19 And along the bottom, I've taken an example of the C-17 and this 19

20 is purely meant to be illustrative and I know that one of our 21 panel members has great experience with this program, so I will 22 welcome any commentary or corrections to the this you may have

23 But I wanted to select an example that would be familiar. 24 Let's start over on the right hand side, with what we observed. 25 The C-17 program plans were significantly over budget. The

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see on the left hand side led to the symptoms you see on the

right hand side. I think what begins to get particularly

interesting when you think about this, is that there are feed

back effects in here and so the impact of the cancellation of

the A-12 program effected the C-17 program. What would have

happened, if as a result of this the C-17 program was cut, what

7 would the impact on the system have been? What would have

happened to other programs. Because of the - in part because of

9 those cost overruns, there were significant cuts in volume and

that had impact on the system and on the outcomes. And so wha

11 we need to think about is not just the stove pipes of an

individual program, or individual members of the system. But

13 what is happening across the entire system, across programs and

across all of the participants.

15 Mr. Patterson: I have just one comment. I'm sorry, I 16 have one comment into the microphone. There is clearly a 17 thematic connection. But something that is missing here and

that ties it all together even more concretely, is the element

of time. This program was the CX program in 1981, 1982 it

became the C-17 program. It met with Congressional excitement

In the spring of 1982 and for reasons not always clear, was put

22 into a low level of research and development which called all of

the standing army that was accumulated to do the C-17 program

24 be dispersed and put into other programs. And then in 1985 when 25 you got a rejuvenation of the program and started to cut metal,

program cost of the first 40 aircraft was really estimated to be 1

in excess of \$500 million dollars each. And the program took a 2 3 lot longer to deliver than had originally been planned. I

should say that a concerted drive by DoD was successful in

5 making the flyway costs of incremental aircraft, about \$172

6 million I believe, so they were successful in remedying some of

the problems here. But I think it is still a good and

8 instructive example.

9 So the patterns of behavior we saw, the prime time factor 10 of McDonnell Douglas was consistently behind schedule and over budget. And Congress significantly cut production volume which 12 drove a significant wedge between the contractor and DoD. So

13 the relationship at times, as I understand on the program was

14 difficult.

15 The structures of behavior, the competitive dynamics and

16 DoD advocacies, resulted in an inflexible fixed price

17 development contract with McDonnell Douglas, and Congressional

18 interest stretched out the funding, which created a four year

cap between program award and the optimum funding rate and the

20 cancellation of the A-12 program caused significant difficulties

21 for McDonnell Douglas, from a financial point of view, putting

22 strain on other areas of the company, and on this program. Now,

23 I think that I'm hoping that you can see the link between

structure, pattern and events and symptoms. I'm obviously

implying there's a strong link there, and a number of things you

Page 61 then you went back to try to bring all of these people back.

They were in Douglas doing commercial work, because then you had

this spike in the commercial industry as soon as this spike came

down, in 1987 to 1990, all of those people in Douglas which had

5 seniority, moved into the C-17 program, and you were at the

bottom of the learning curve, and starting all over again.

So that when you had T-1 coming out in 1991, it was I-1

8 guess it would be fair to say, if you're a biology major it was

clouged together. If you're in engineering, I guess it was a

lot of out of position work. It is important to run the time

line so that you see what happened at each case. And there were

things that happened, like taking \$300 million dollars out of

the CAD-CAM program, so that now you no longer can put rather

14 sophisticated at the time IT into this, and you have a paper

process to do design. I just offer that as an addition.

16 Mr. Kozlowski: I gathered Dave has an interest in this 17 program too. I had in an interest in the A-12 program as well

as the C-17. This really did not have a material impact on the

C-17. I don't want to get into the specific case, but the point

is, if you want to take a case and show the complexities of

government industrial relations and program evolution, and all

those other things, I'd be glad to do that. Because there are

public perceptions that don't apply, there are some that do

24 apply. And there are some behind the scene things. But I would

25 be glad to discuss it. If you're willing to.

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1 Mr. Griffin: I appreciate that. And I certainly am glad that you're willing to see the water point, and not just the 3 arrows in the analysis.

Mr. Kadish: I would like to open it up to questions at this point. While I'm waiting for others - I'm sorry go ahead.

Mr. Kern: On the literature search you did, and the numbers I assume that you weighted them all equally, so that one reform versus another, had the same impact, is that fair?

9 Mr. Griffin: Yes it is. In one part of the analysis

10 which was the analysis of the new versus, old topics. We did 11 weight papers differently according to the amount of - the 12 recommendations they had in different areas. But otherwise

13 you're correct.

14 Mr. Kem: The second question I have. I know of very few 15 books that have actually been written on defense management.

16 Very few historical studies that have said okay, you have all 17 these reforms, but management decisions were made or not made 18 what happened to them? I don't know of any, did you find any?

19 Mr. Griffin: There are a few books and I would be happy

20 to share some titles that we found with you. 21 Mr. Kern: I guess one of the points I would make though

22 is if you found more we would like to know. But it seems to me 23 there's been an paucity written about how to manage defense,

24 versus how to manage other business. And there's hundreds and

25 hundreds of fascinating books that make top best seller lists,

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on reform given the structure. So maybe we could talk about

that, do you have any thoughts on that in terms of the

literature search, in connection with the structure for

acquisition reform?

Mr. Griffin: Well other than to say that I think it is an 6 excellent observation and that clearly the structure of the 7 industry and to some extent industrial policy have a significant

outcome you would expect them to have a very significant outcome

on the results of the system. And that clearly the themes you

10 see in the literature and the emphasis of various commissions,

the topics we're dealing with are going to be somewhat 11

12 influenced by the providing the structure of the industry at the

13 time. So I think it would be a very interesting study to do.

14 Mr. Kadish: On just the issue of being familiar with some 15 of those commission recommendations, and the idea of competition

16 and demand for competition and benefits. It seems to me that 17 the structural changes in industry and the competition wouldn't

18 necessarily be a new idea. It could be an old idea, but it

19 would certainly be very different today, from many years ago.

20 Mr. Griffin: Yes. And I think the ability to promote

21 competition within the system is obviously becoming, I would

22 suggest, more constrained as the industry becomes more

concentrated, and clearly we need to understand the impact that

24 the much more concentrated industrial base has on our ability to

25 promote competition. And on other key variables.

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and none made for Defense. I would also like to know if your 2 literature search - did anybody do any thesis studies or MBAs or

3 Ph.Ds, at Harvard, Wharton, Stanford?

10 can find anything in that area.

Mr. Griffin: We certainly found thesis studies, but I'm not aware that we found any from any of the leading business schools. I think that is an excellent point. So we didn't find any from the leading business schools in our search. But I think that is something that would be worthwhile going and taking a closer look at, so I'll make a note and we'll see if we

On the search for best sellers, I didn't find anything 12 that was at the top of the New York Times list. But there were 13 some books that we did find and as I say, I would be happy to 14 share the list with you, and make that available to the public 15 as well.

16 Mr. Kadish: I was struck by the chart on the previous 17 commissions and the rendition you gave of the advice. I would 18 suggest maybe we can talk about this later. I think it would be 19 helpful to us to have aligned with that part as well as the rest

20 of your study a look at the structure of the defense industry

21 during those time periods. As an example the Packard Commission 22 if I recall certainly assessed 30-40, 50 major defense companies

23 in business at that time. And today for instance you have a lot

24 less than that, certainly primes. And see if there's any

analytical connection based upon your literature search to ideas

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1 Mr. Kozlowski: Let me comment, relative to the issue of 2 competition. I think a lot of us worry about the consolidation

3 within industry. However there are many ways to put the

4 competition in the marketplace on industry. Competitive

5 pressure, not just ahead to head runoff, but the normal kind of

6 thing, there are a lot of things where an industry focuses, so

7 you can get their attention in a number of ways. And we will

8 probably elaborate on that as we go along in these

9 deliberations.

10 The other thing in your chart, where you go back and sort 11 of summarize, I haven't read them all, but I'm trying to get a

12 link. What I find is an amazing degree of consistency. As opposed to dichotomies. They wanted a procurement czar, they 13

got one. They want to decentralize the execution, and that's 14

15 available. How well we are doing it, that maybe another matter. 16

But over the years, in all of these reports and whatnot, I 17 found an amazing amount of consistency in what's recommended

18 The observations. We call them different things, just as in

this chart back here, in my day, I got educated on Moscow I and 19

20 II, and now they're A and B. And you just go on and on, and on.

21 But the more we try to change, the more we stay the same. 22 There's a lot of generalities behind that statement. But

23 there is a tremendous amount of consistency in the reform. And 24 you have to ask yourself, why aren't we getting better results.

25 That leads me to one fundamental question, I'm not sure the

17 (Pages 62 to 65)

- 1 system is all that broken, as a lot of people think it is.
- Particularly when you've got the challenge of trying to run
- 3 contingencies against a undetermined threat that's well
- 4 specified in the documents yesterday. And it's the wrong place,
- or wrong time. That's a hell of a problem for DoD to face.
- You've got to be prepared for a whole variety of contingencies.
- Which simply means there is no explicit close form solution in
- this business. But I'm not sure the system is always as bad as
- 9 people think it is.
- 10 Mr. Griffin: We shouldn't forget that United States has
- 11 the world's best army. And war fighters. I don't wish to
- 12 exclude the other services in that remark. That they're
- 13 equipped with the most technologically advanced, sophisticated,
- 14 and powerful weapon systems that exist in the world today. And
- 15 that therefore the final outcome of all of this system is its
- 16 success.

8

- 17 So I think the hypothesis that you're putting forward, I
- 18 think I would suggest two hypotheses. One of which is that
- 19 there is an implementation issue. And the second one is that
- 20 there's a systems issue that we need to think more broadly
- 21 across the entirety of the system. The good on is that no, it
- 22 isn't actually broken.
- 23 Mr. Kadish: Could I suggest a fourth one, you've got the
- 24 wrong metrics. In terms of measuring success in the sense, it's
- 25 not that for instance. That cost isn't important. That

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- 1 certainly isn't the case. But in certain instances we want performance at any cost, because it's so revolutionary. We have
- 3 different oversight mechanisms and all those sorts of things but
- rather than belabor that, I would just add the fourth. That
- 5 maybe we need additional metrics to set our expectations by.
- 6 Mr. Griffin: The only thing I would add to that, is
- 7 whether or not one believes it's broken. I strongly believe
 - there's room for improvement. And whether we want to call it
- fixing the system, or improving the system, I believe there's
- great scope and we should be striving to do a better job for our
- 11 war fighters. And that's something we should never cease to do. 12
- Mr. Hawley: As I read through your product, I didn't see 13 much reference to past studies. The planning and the
- programming front end of the acquisition process. Which of
- 15 course really sets the conditions for success or failure, within
- 16 the acquisition. Was there much discussion of that anywhere,
- 17 did you find that? Or is that kind of a gap?
- 18 Mr. Griffin: I think there is a good deal of discussion
- 19 about it. There's obviously been the move towards jointness in
- meeting capabilities, requirements. The move towards a
- 21 capability model, and move towards jointness in the requirements
- 22 definition. Big changes in the last 20, 25 years. There's not
- 23 an enormous amount of discussion of ways in which the front end
- is broken. Does that answer your question?
- 25 Mr. Hawley: So you confirm, there's not much there?

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- Mr. Griffin: There's not a huge amount I think on it
- being broken. There's quite a lot of discussion of the changes that take place. I think there's some sense that Mr. Patterson
- referred to the issue of time and I think it is interesting to
- look at how that front end reacts over time as the programs get
- pushed out, but there's not a huge discussion. Thank you very 7
- 8 Mr. Kadish: I think at this point Dave, we have some
- 9 comments and questions that we would like to go over just to
- 10 make sure we recognize them.
- 11 One I think we can answer - is that you have in mind?
- 12 Mr. Patterson: Yes it is. I thought that those comments
- 13 that came forward I mean we certainly can discuss them, and
- 14 some of them are answered within what we're about to undertake
- 15 here.

1

- 16 Mr. Kadish: What I intend to do is just basically read as
- 17 best I can, the submission and then we can either comment
- presently or differ it to another answer in the formal process.
- Or put it in the hopper to think about. One comment here says
- with \$300 billion dollar claim in cost growth, five major
- programs account for over \$240 billion in overruns. That means 22 the other 75 programs only accounted for \$60 billion in
- overruns. That's a big number to me. This amount of overrun
- does not seem that significant and probably suggests some degree
- 25 of success. Are we forgetting, although we acknowledge some

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success in the current acquisition process. Any comments?

- Mr. Cappuccio: I think I tend to agree, the system is not
- as broke as some say, in the sense that if the metric used is
- overruns, costs, schedules, the question gets to managing
- expectations. Was it a reasonable expectation to believe the
- vehicle, or systems should cost that much. Was there a
- reasonable expectation for the schedule, or were there what we
- call in the industry, do we manage by hope. Well I hope I can
- make it with that money, in that time. I think that a few
- programs, versus the many are in the overrun mode. Is because
- you have a distribution of personalities program management
- personalities within the government and industry. Some like to
- manage by hope. Some like to manage by hard ass facts. And
- think that's why I don't think the system is as generically
- broken. But I think you have to take a look at our major
- programs, they high visibility. Are we kidding ourselves as to
- what the hell it's going to cost. I just came over from JSX,
- 18 and will tell you the most probable cost, and what we were put 19 under contract for said you had a problem on day one, day one
- 20 So now is the problem as simple as acquisition? No. I think or
- 21 the smaller programs, most probably cost, and big cost. I think
- 22 on these smaller programs you understand the risks a little
- 23 better. On the larger programs you have somebody let's take 24 JSF, 32 million fines of software. Can anybody truly say they
- 25 understand, or assess the systems integration risk. Not the

1 technology risk, but the system integration risk.

2 So I think that the comment is true in the sense that it's 3 not all broken, but you know we're creating our own problems by 4 creating the expectations of delivering stuff to target numbers that are maybe not right. I think it gets back to what Holly 6 was saying, are we planning? Do we have good pre-acquisition 7 strategies? And are we planning to those strategies 8 or are we planning to a budget? If we know we have a problem.

9 because we know we don't want to sacrifice that money, the

10 difference between most probable costs, and big ones?

11 Mr. Kadish: Is that a fault of the cost estimating 12 system?

13 Mr. Cappuccio: I don't believe so. I think if you go to

14 the guys. The K guys. You go to K and they'll tell you how 15 you're kidding yourself, you're smoking. The problem I have

16 with the K people in general is that they base it on historical

17 stuff, and historical stuff has ever overrun we've had in the

18 world. And that's valid, but I remember on JSF at the very

19 early stages, the program they were pricing in the GPS system, a

20 black box GPS system, that was \$70,000. So I sent down with -21 at the time. And I took out a GPS system for \$300 bucks. So

22 there are various issues that you can look at. But I think

23 fundamentally the government has a glimpse of what the realistic

24 costs are. The only mistake I can see with making up both sides

25 of the ledger, is the systems integration piece, as the lines of

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1 tell them to get over it. And it's a very emotion issue in

terms of your point of view. And we will address this issue in

context as a first activity. Does anybody have any comment?

VOICE: I think because industry doesn't understand the

5 rules, every time I brief a CEO, a defense contractor, there's

rules and the rules have been in place for 30 years. And every

program director, and every contractor ignores the rules. If

8 you know the rules, you design within those rules, you're

9 expenditures in all of that you're not losing a damn penny. 10 Last year, no programmers caught those executed on cost and

11 schedule. The point is, we realign the programs as we go along. And what the contractor bids in most of the time they're not 12

touched anywhere. But when you don't take that into account,

14 and don't do it every program - I've done the history of studies

15 for the last 20 years. And that is what you will find. And

16 everybody looks at cost growth. The cost growth is based upon

17 the SAR not the estimate and that's been very important, because

18 it has nothing to do. The program is fully funded when it makes

19 a decision. As soon as it's base lined all the money disappears

20 beyond what the contractor costs and other government costs.

21 And then when you go to the original estimate, it's all called

22 cost growth, and all new requirements added in to consider cost

23 growth. Not the core programs. You're always measuring apples

24 and oranges. I'm sorry.

25 Mr. Kadish: No, I would ask do we get those studies?

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1 software grow. We have no metric - we don't even have a term called integrative systems. We have a technology risk for an 3 engine, we have a technology risk for a piece of software, we

have nothing that says how am I going to get that software, that 5 engine, that vehicle working together in a lab.

We don't even measure it. And the first clue should be if

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you track, and I actually looked back. I tracked the overruns on major programs. The amount of lines of software, it ain't

9 the coding of the software that's the problem. It's the

10 integration. We don't measure it, and so we get surprised. 11 Mr. Kadish: Any other comments? I can assure you that

12 this may be not - may not be stated quite as well as this, but

13 we'll look at it. It will be a part of the study. I have two 14 other activities, and by the way we'll come back to this if

15 anybody in the audience wants to comment on this.

16 I have two other questions that have to do with what Dave 17 briefed earlier and so we'll just pass those on. Nearly every

18 previous attempt to improve defense acquisition has punted. O

19 the aspects effecting cost schedule and performance, stable

20 requirements, stable funding, will this assessment address these

21 two critically important areas? And if so, how?

22 Yes. I mean I have to say in response to that, I've done 23 a lot of program reviews over the last 20 years, many on this

24 panel have, and if it's done by a Program Manager, the top of

the list of the issues is program funding stability. Usually we

VOICE: We do have the cost growth stuff.

Mr. Kern: Ron, can I go back to the requirements?

There's two pieces of that. One we often write requirements

4 that are not achievable today. We hope we're going to get to it

in the time schedule, that's one aspect of it. But I think more

importantly, go back and look at the basic guidelines that we're

7 working against right now. We're working against a threat that

8 doesn't work against rules. So they're very agile in changing

9 their requirements to build systems. And we have to figure out

10 how to respond to that. The Soviets were very predictable,

11 We've just got to get over that.

12 Mr. Kadish: Okay. Next is more of a comment. The 13

acquisition states schematic out of the 5000 series documents 14 shows technology insertion and requirements insertion of

15 milestone C. The beginning of production introducing new

16 technology and new requirements at the beginning of production

17 has great potential for introducing instability into the

18 program. We recommend the panel take a hard look at limiting

19 the introduction of new technologies and requirements as to the

20 and only those technologies and requirements for the system

21 being produced. We will take that on, in terms of something to

22 look at.

23 Okay. The next one, why can't government contracts be

24 negotiated. Negotiated, contracted, operated under the same

legal requirements as U.S. Commercial company. For example v

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- 1 need University to teach people how to operate under the defense
- acquisition guidelines. That would put you out of business.
- 3 What percent? And so is there any comment on that? Frank?
- Mr. Anderson: The biggest reason is because Congress
- 5 creates a separate set of statutory requirements that is beyond
- the normal commercial business practice. And those are
- 7 mandatory requirements of those suppliers and buyers have to
- comply with.
- g Mr. Kadish: Can you give an example?
- 10 Mr. Anderson: Well one of the biggest examples, there's
- 11 social economic requirements.
- 12 Mr. Cappuccio: Small business advantages.
- 13 Mr. Anderson: Truth in negotiation. I could go on
- 14 forever
- 15 Mr. Kadish: We will make sure that this question gets in
- 16 the system.
- 17 Voice: There are Universities that teach contracting.
- 18 Mr. Kadish: What percentage of DoD contracts, that are on
- 19 time and within the original budget, versus private industry.
- 20 Who is held responsible for this. Any comment?
- 21 Mr. Kozlowski: I don't know how many programs come in on 21
- 22 target, and as my colleague here just stated, a lot of times the
- 23 reported statistics, are cost overrun or whatever are no longer
- 24 based on the same program. It changes. And that's one of the
- 25 things about metrics and whatnot, we need to go back and

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- comment on acquisition work for us. And I think I'll just leave
- it. Does anybody else have a written comment, that hasn't made
- it up here yet? Yes sir?
- VOICE: I don't have a written comment, I wanted to
- 5 mention your request for a book. You might want to use Jim
- Stevensons A-12 review, which is text at the Georgetown Law
- 7 School, which is probably one of the most current ones. And
- it's pretty comprehensive. I want to just make a point that if
- you look at the QDR right now, and the four focus points, you're
- 10 realizing that what we're talking about is an evolutionary
- change. And you realize that an evolutionary activity, all of
- 12 that experience you're bringing from the wealth up behind. It
- 13 doesn't look that broke in a sense. Things can be smooth, but
- 14 there's a lot of people who believe right now, with the corporal
- 15 War on Terror, we are in a process of a revolution, in the way
- of the conduct of Warfare, there for in a revolutionary change. 16
- 17 A lot of this beautiful evolutionary experience, might
- 18 become fatal baggage. And therefore I suggest to the panel.
- Don't lose your native wit. Because in that collection of
- 20 people right there, you're already starting to sense it popeye
- deals with very quickly. That may have to be absolutely out of
- 22 the box, in fact, no box. Things may be so beyond what we
- 23 really think that we may suddenly find ourselves really, without
- 24 a cart here. So that's just my two cents, and I thank you for
- 25 your efforts.

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- 1 calibrate, and track ourselves against the original scope. The 2 original target and that make take legislation to get that done,
- 3 but I think that is fundamental.
- 4 The other thing I want to point out. As bad as you may
- 5 think that DoD is, or how good you may think it is. Commercial
- programs are generally speaking whether you talk about a
- highway, a bridge, a building, and even in some respects take
- 8 systems like commercial aircraft. And that sort of stuff, and
- it's true on the low side. I came off of one small company, a 10 very short stint. But the initial estimates on performance and
- 11 costs of a little four or six place business jet kind of thing.
- 12 That company went bankrupt, for a whole variety of reasons.
- 13 Cost growth, et cetera, et cetera. So as taxpayers we need to
- 14 put a lot of this stuff in context. I'm not excusing cost
- 15 growth, I think systems cost too much and I think we can do
- 16 something about it. I think they take too long, that's part of
- 17 the problem. We can do something about that. We could use
- 18 streamlining, we've been trying it since 1948 we need to more,
- 19 et cetera, et cetera, et cetera.
- 20 I think there is great potential, it ain't all that bad.
- 21 Mr. Kadish: Anybody else? Why in many cases does
- 22 government have more people managing the contract, than the
- 23 contractors have managing the interface? There's an answer to
- 24 that that is multi-faceted, and we'll have to take it on. And 25 maybe the answer is not acceptable to us. Here's another

- Mr. Kadish: The comment is well taken.
- 2 Mr. Cappuccio: Part of the criticism I hear, is the flip
- 3 side of your coin. Are we procuring the right things for the
- future. And if you ask people, you have a hard time getting
- 5 people, or a person, any person that says the to be state for
- long range strike, is this, or that. The issue isn't so much
- are we buying the right things to protect this country in the
- future. And if we're not, we say it the question is where
- does the money come. How do you cut the programs? And all t
- aggravation comes from people are going to be hurt if we stop
- what's going on, you see it now. The fundamental question is
- having said that, how do you buy what's needed, faster, quicker
- 13 and better. You have to respond - it gets back to what Paul
- said, you can say I need new devices, here and here. But if I
- want to solve the IED problem you can't throw it at this
- acquisition system. You have to think about how to get more
- 17 efficient. But the more fundamental question is are we buying
- 18 the right things. Is somebody really addressing that. That's a
- tough question, and that's where a lot of uncertainty within the
- 20 DoD community, and how we're being viewed is looked at. The
- 21 acquisition problem I'm not sure most people are really attuned
- 22 with,
- 23 VOICE: What you're saying is you're always buying the
- 24 best, therefore your requirements are never achievable and your
- 25 costs are never attainable. And therefore I think Dave might

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- 1 answer that, because he's not your charter trying to package
- this down. The point is, if you're going to contain costs,
- you're going to contain schedules. That means you're going to
- have to add incremental things that do the job for that moment.
- And then find a way to pick up spiraling. I'm going to pass
- that to Dave for his understanding. But I think you're totally
- 7 right. And the legislation now, that brings up the emergency
- procedure activity which we're doing is probably a good go for
- 9 IEDs but it's not going to a be a good go for JSF, or the next
- 10 spaceship. That's just a comment.
- 11 Mr. Patterson: Along with that point, I would refer you
- 12 back to the principles that are guiding this panel, in that we
- 13 do take that on as an attempt to identify those things that
- 14 increase our agility, keep pace with changing in an uncertain
- 15 world. And shorten the cycle times as hedge against the prize.
- 16 I also think, that we have to also - and we mentioned it
- 17 earlier. You do have to get away from this notion that we're
- 18 always buying. Today, any generation better than what we're
- 19 buying today. And that may require us as you say not being
- 20 bound by any particular rocks. But it is something this panel
- 21 holds as a principle, it's also integrated with what where I
- 22 believe the U.S. DATNL is going today as a principle. And so
- 23 you can count on us addressing that in this panel.
- 24 VOICE: Are you addressing David, how to kill a program?
- 25 I went through a study where we recommended to kill six

- Page 80
- today. We have an awful lot of work to do. We've been charged with very comprehensive look, and we intend to carry out these
- responsibilities. And on behalf of Secretary Inmand we hope to 4 see you all again in the future. This meeting is adjourned.
 - [Whereupon the meeting adjourned at 11:55 a.m.]

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- programs and they were all back.
- 2 Mr. Kadish: Let me take that on.
- 3
- The thing is you have too many programs chasing too few dollars

- is going to say, go stop this without the decision maker
- 10 to discuss this, and we will. In the discipline, and I think
- 11 we've proposed to look at behavior that might give us some view
- 12 there. But the fact of the matter is, that a Program Manager is
- 15 of advocacies. So I think it is important we take that issue on.
- 17 issue.
- 18
- 19 aside for the open session. I would ask each of the

- 23 through the public website. Or fill out on of these activities
- 25 to provide input. I would like to thank everybody for coming

VOICE: But I think somebody has to address that some way.

- Mr. Kadish: 1'll just make one comment on that particular
- issue. The issue on whether or not you can kill a program is a
- behavioral issue, and not a process issue. In that, no process
- standing up and saying that's what we want to do. And we need

- 13 hired to get the job done. If somebody tells him to stop, the
- 14 Program Manager will. On the other hand, there's a whole host
- 16 But it has to be stated as a behavior issue, and not a process
- I think we're coming to the cost of the period that we set
- 20 participants, because we will be deliberating in this panel, how
- 21 do we improve these sessions and get broader participation. If
- 22 you have any ideas along those lines, please let us know, either
- 24 before you leave. And certainly you can contact the staff here

21 (Pages 78 to 80)

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